

STATE OF CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

20 Trinity Street Hartford, Connecticut 06106—1628



Campaign Finance Disclosure Filing Calendar

Candidate and Durational Political Committees Organized for the Special Election on January 10, 2012

General Statutes § 9-608

TYPE OF REPORT	PERIOD COVERED	FILING DEADLINE
7 th Day Preceding Special Election	Creation of Committee through 01/01/12	01/03/121
45 Days following Special Election Durational Political Committees Only	01/02/12 through 01/31/12	02/24/12 ²
Deficit Filing	01/02/12 through 03/31/12	04/09/12 ³
April 10 Filing	01/02/12 through 03/31/12 Candidate Committees	04/10/124
	02/01/12 through 03/31/12 Durational Political Committees	
Termination Filing	04/01/12 through 04/09/12	04/16/12 ⁵

This calendar covers candidate committees and durational political committees organized for the January 10, 2012 special election.

Candidate committees and durational political committees may use the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of \$1,000 from their inception through the close of the reporting period covered by the statement, and have not previously filed an "Itemized Campaign Finance Disclosure Statement" (SEEC Form 20 (political committees) or SEEC Form 30 (candidate committees) in this election cycle.

Candidates participating in the Citizens' Election Program must use the SEEC Form 30 when applying for a grant and therefore are required to complete ALL filings using the SEEC Form 30.

The "Type of Report" corresponds to the financial disclosure reports required by General Statutes § 9-608(c).

The "Period Covered" means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10th filing covers all activity starting at 12:00 a.m. on the first day not covered in the last filing and ending at 11:59 p.m. on March 31, 2012. If the committee came into existence after the beginning of the period covered by the statement, then the period covered must begin on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

Also, if the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) was previously used, then the committee's first filed itemized statement (SEEC Form 20 for political committees or SEEC Form 30 for candidate committees) must cover a period that begins with the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

The "Filing Deadline" is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing may not be submitted on or before the last day in the period covered. For example, candidate committees cannot file the April 10th filing on March 31, 2012 or earlier, since it must cover the period ending on March 31, 2012. The earliest this report can be submitted is April 1, 2012. Statements filed after the applicable deadline of the filing period will be subject to a mandatory \$100 civil penalty.

Statements are considered timely filed if they are filed electronically via eCRIS with the State Elections Enforcement Commission by 11:59 p.m. on or before the filing deadline date or if they are *received by* the Commission's offices by 5:00 p.m. on or before the deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery.

*IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee's filing status on eCRIS.

Footnotes:

- 1. The period covered by this statement must begin on the date that the committee's first funds were received, or the committee's first expenditure was made or incurred, or the date the committee registered, whichever is earliest. If a candidate committee of a participating candidate has already filed an Initial Itemized Statement accompanying application for Public Grant (SEEC Form 30) for this election cycle, the 7th day preceding special election statement must begin on the first day not covered by this prior filing. Please note that because the period covered in this report covers through the ending date for the January quarterly filing, committees that file this 7th day preceding special election statement are not required to file the January quarterly report.
- **2.** A durational political committee that does not have a surplus or deficit may terminate with this filing.

- **3.** A candidate or durational political committee formed for the January 10, 2012 special election which still has a deficit as of March 31, 2012 must file a Deficit Report by April 9, 2012 (the 90th day after the special election) and must thereafter file a Deficit Report on the 7th day of each month in which there is either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Statement filed. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
- 4. All committees that have not yet filed a deficit or termination report must file this report. Any committee that is ready to terminate may file a Termination Report in lieu of this report. Keep in mind, however, that any committee which has distributed its surplus on or before March 31, 2012 would have already filed its Termination Report because it is required to terminate within seven days of surplus distribution. Such a committee that has already filed a termination statement would therefore not need to file this quarterly report.
- 5. Candidate committees of candidates on the ballot for a January 10, 2012 special election as well as durational political committees registered for the January 10, 2012 special election must distribute or expend their surplus by April 9, 2012 and file a Termination Report by April 16, 2012. Any candidate committee or durational political committee which has distributed its surplus before April 9, 2012 must terminate within seven days of such distribution. Accordingly, such committee would have already filed its Termination Report and would not need to file the April 16, 2012 Termination Report.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contribution and expenditures, or have questions about other state campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.